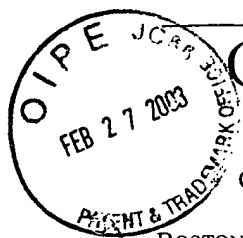


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## VIA EXPRESS MAIL

Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application No.: 09/945325  
For: NOVEL AUTOINDUCER MOLECULES AND USES THEREFOR  
Inventors: Pesci, Everett C. *et al.*  
Filed: August 31, 2001  
Our Ref. No.: UIZ-068CP

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Information Disclosure Statement;
2. PTO Form 1449;
3. Copies of references cited in PTO Form 1449 (120);
4. A copy of the International Search Report; and
5. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

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Ilidio P. Cardoso

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Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Peter C. Lauro  
Peter C. Lauro, Esq.

Reg. No. 32,360

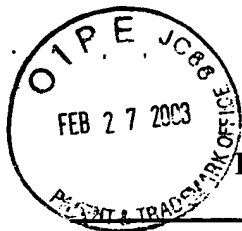
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Pesci, Everett C. *et al.*

Serial No.: 09/945325

Filed: August 31, 2001

For: NOVEL AUTOINDUCER MOLECULES AND  
USES THEREFOR

Attorney Docket No.: UIZ-068CP

Group Art Unit: 1625

Examiner: Huang, Evelyn Mei

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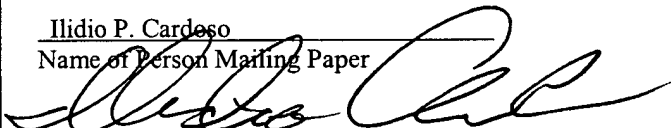
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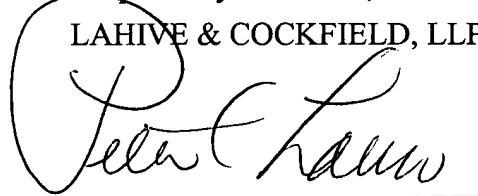
Applicants and their Attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. Reference ID Nos. A6, A12, A14, A16, A17, A21, A22, A23, B2, B6, B10, B14, C2, C3, D7, D9, E1, E13, and F6 were cited in an International Search Report during the prosecution of PCT/US01/27165 which corresponds to the above referenced application. A copy of the report and each cited publication is enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications.

In accordance with 37 CFR §1.97(d)(2) and §1.17(p), please charge the \$180.00 submission fee to our Deposit Order Account No. 12-0080. Please charge any necessary additional fees or credit any overpayments to our Deposit Order Account No. 12-0080.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

A handwritten signature in black ink, appearing to read "Peter C. Lauro", is written over a horizontal line.

Peter C. Lauro, Esq.

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LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT <b>Pesci, Everett C. et al.</b>	
		FILING DATE <b>August 31, 2001</b>	GROUP <b>1625</b>

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	4,650,750	03/87	Giese	435	7	
	A2	4,709,016	11/87	Giese	530	389	
	A3	5,239,088	08/93	Hoffman et al.	549	321	
	A4	5,320,805	06/94	Kramer et al.	422	28	
	A5	5,536,750	06/96	deSolms et al.	514	538	
	A6	5,591,872	01/97	Pearson et al.	549	321	
	A7	5,593,827	01/97	Bycroft et al.	435	6	
	A8	5,686,472	11/97	Anthony et al.	514	357	
	A9	5,776,974	07/98	Bycroft et al.	514	445	
	A10	6,337,347	01/02	Livinghouse	514	471	

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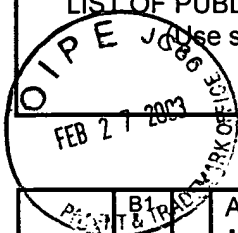
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	A11	JP 58096079	06/83	Japan			Abstract Only	
	A12	JP 59051267	03/84	Japan			Abstract Only	
	A13	JP 60045568	03/85	Japan			Abstract Only	
	A14	EP 0 177 764 A1	05/85	EPO				
	A15	WO 92/18614 A1	10/92	WO				
	A16	JP 07188208	07/95	Japan			Abstract Only	
	A17	WO 95/28929 A1	11/95	WO				
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	A19	WO 97/27851 A1	08/97	WO				
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	A23	WO 99/65889 A1	12/99	WO				

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	A24	Allison, D.G. et al., "A staining technique for attached bacteria and its correlation to extracellular carbohydrate production", <i>J. Microbiol. Methods</i> , 2:93-99 (1984).
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT <b>Pesci, Everett C. et al.</b>	
		FILING DATE <b>August 31, 2001</b>	GROUP <b>1625</b>



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B15	Cao, J-G. et al., "Purification and Structural Identification of an Autoinducer for the Luminescence System of <i>Vibrio harveyi</i> " <i>Journal of Biological Chemistry</i> , 1989, vol. 264, No. 36, pp. 21670-21676
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LIST OF PUBLICATIONS CITED BY APPLICANT  
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APPLICANT

Pesci, Everett C. *et al.*

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C1	Cohen-Bazire, G. <i>et al.</i> , "Kinetic studies of pigment synthesis by non-sulfur purple bacteria", <i>J. Cell. Comp. Physiol.</i> , 49:25-68 (1957).
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C10	Eberhard, A. <i>et al.</i> , "Analogues of the autoinducer of bioluminescence in vibrio fischeri", <i>Arch. Microbiol.</i> , 146:35-40 (1986).
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C13	Finlay, B.B. <i>et al.</i> , "Common themes in microbial pathogenicity", <i>Microbiol. Rev.</i> , 53:210-230 (1989).
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D1	Gacesa, P., "Alginate-modifying-enzymes. A proposed unified mechanism of action for the lyases and epimerases", <i>FEBS Lett.</i> , 212:199-202 (1987).
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D9	Hirao, I. et al. "A convenient synthesis of 2- and 2,3-substituted 4H-chromen-4-ones," <i>Synthesis</i> , 22:1076-178.
D10	Høiby, N. "Pseudomonas Aeruginosa Infection in Cystic Fibrosis," <i>Acta. Path. Microbiol. Scand. Sect. B.</i> , 1974, vol. 82, 551-558.
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D19	Kintner, P.K. III, et al., "Carbohydrate interference and its correction in pectin analysis using the m-hydroxydiphenyl method", <i>J. Food Sci.</i> , 47:756-760 (1982).

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LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT <b>Pesci, Everett C. et al.</b>	FILING DATE <b>August 31, 2001</b>
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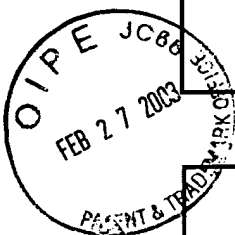
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E2	Latifi, A. et al., "Multiple homologues of LuxR and LuxI control expression of virulence determinants and secondary metabolites through quorum sensing in <i>Pseudomonas aeruginosa</i> PAO1", <i>Mol. Microbiol.</i> , 17:333-343 (1995).
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E6	Nichols, W.W. et al., "The penetration of antibiotics into aggregates of mucoid and non-mucoid <i>Pseudomonas aeruginosa</i> ", <i>J. Gen. Microbiol.</i> , 135:1291-1303 (1989).
E7	Ochsner, U.A., et al., "Autoinducer-mediated regulation of rhamnolipid biosurfactant synthesis in <i>Pseudomonas aeruginosa</i> ", <i>PNAS USA</i> , 92:6424-6428 (1995).
E8	Passador, L. et al., "Expression of <i>Pseudomonas aeruginosa</i> Virulence Genes Requires Cell-to-Cell Communication," <i>Science</i> , 1993, vol. 260, 1127-1129.
E9	Passador, L. et al., "Functional analysis of the <i>Pseudomonas aeruginosa</i> autoinducer PAI", <i>J. Bacteriology</i> , 1996, 5995-6000.
E10	Pearson, J.P. et al., "Structure of the autoinducer required for expression of <i>Pseudomonas aeruginosa</i> virulence genes," <i>PNAS USA</i> , 1994, vol. 91, No. 1, 197-201.
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E16	Piper, K.R. et al., "Conjugation factor of <i>Agrobacterium tumefaciens</i> regulates Ti plasmid transfer by autoinduction," <i>Nature</i> , 1993, vol. 362, 448-450.
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LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT <b>Pesci, Everett C. et al.</b>	GROUP <b>1625</b>
		FILING DATE <b>August 31, 2001</b>	

OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

F1	Preiss, J. et al., "Alginic acid metabolism in bacteria. I. enzymatic formation of unsaturated oligosaccharides and 4-deoxy-L-erythro-5-hexoseulose uronic acid", <i>J. Biol. Chem.</i> , 237:309-316 (1962).
F2	Ralling, G. et al., "Growth rate-dependent regulation of RNA polymerase synthesis in <i>Escherichia coli</i> ," <i>Mol. Gen. Genet.</i> , 1985, vol. 201, 379-386.
F3	Reimmann, C. et al., "The global activator GacA of <i>Pseudomonas aeruginosa</i> PAO positively controls the production of the autoinducer N-butyryl-homoserine lactone and the formation of the virulence factors pyocyanin, cyanide, and lipase", <i>Mol. Microbiol.</i> 1997 vol. 24 No. 2, 309-319.
F4	Reynolds, H.Y. et al., " <i>Pseudomonas aeruginosa</i> Infections: Persisting Problems and Current Research to Find New Therapies," <i>Annals of Internal Medicine</i> , 1975, vol. 82, No. 6, 819-831.
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F9	Srinivasan, R. et al., "Biofilm parameters influencing biocide efficacy", <i>Biotech. Bioeng.</i> 46:553-560 (1995).
F10	Stewart, G.S.A.B. et al., "Shedding New Light On Food Microbiology," <i>ASM News</i> , 1993, vol. 59, No. 5, 241-246.
F11	Stewart, P.S., "Biofilm accumulation model that predicts antibiotic resistance of <i>Pseudomonas aeruginosa</i> biofilms", <i>Antimicrob. Agents Chemother.</i> 38:1052-1058 (1994).
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F16	Wallace, W.H. et al., "An <i>algD</i> -Bioluminescent reporter plasmid to monitor alginate production in biofilms", <i>Microb. Ecol.</i> 27:225-239 (1994).
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